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GTR #104

PATENT
0756-1603

11/10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of)
Shunpei YAMAZAKI, et al.) Group: 1104
Serial No.: 08/841,644) Examiner: L. Radomsky
Filed: April 30, 1997)
Title: SEMICONDUCTOR DEVICE AND)
METHOD FOR FORMING THE)
SAME)

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INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached PTO-1449 forms be made of record in the above-identified application. Copies of the references are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Applicants are in receipt of the communication from the Examiner dated April 3, 1999. However, it is Applicants' opinion that the April 3, 1999 communication is not an Action on the merits and therefore submits this Information Disclosure Statement under 37 C.F.R. § 1.97(b)(3). If Applicants' understanding is incorrect, the Office is directed to charge Deposit Account No. 19-2380 (0756-1603).

The Commissioner has hereby authorized to charge fees under 37 C.F.R. "1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (0756-1603). A duplicate copy of this sheet is attached.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 13 April 2000
Melissa McLean

EJR:mmc

Respectfully submitted,

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